

**Illustrating Predictability for Nocturnal Tornado Events in the Southeastern United States**

RYAN C. BUNKER

*National Weather Center Research Experience for Undergraduates, Norman, Oklahoma  
University of Oklahoma, Norman, Oklahoma*

ARIEL E. COHEN

*NOAA/NWS/Storm Prediction Center, Norman, Oklahoma  
University of Oklahoma School of Meteorology, Norman, Oklahoma*

JOHN A. HART

*NOAA/NWS/Storm Prediction Center, Norman, Oklahoma*

KIMBERLY E. KLOCKOW

*Cooperative Institute for Mesoscale Meteorological Studies/ National Severe Storms Laboratory,  
Norman, Oklahoma*

ALAN E. GERARD

*Warning Research & Development Division/National Severe Storms Laboratory, Norman,  
Oklahoma*

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## ABSTRACT

44 Nocturnal tornado events can create societal vulnerabilities when visibility is extremely limited,

45 when people are asleep, and when people are in weak-infrastructure buildings. Understanding

46 these high-impact events is a crucial step for forecasters to improve lead times for the public.

47 Previous studies have assessed the ability for parameters to distinguish severe thunderstorm

48 environments. This study uses the Statistical Severe Convective Risk Assessment Model

49 (SSCRAM) to help assess what parameters can be linked to tornado potential in the southeast

50 United States. This study shows that several parameters have statistically significantly different

51 distributions between the Southeast and everywhere else in the contiguous United States, and

52 between the coastal region subset of the Southeast and everywhere else in the contiguous

53 United States. By adding a constraint of at least 50 knots of effective bulk shear, the

54 predictability for tornadoes in the southeast U.S. is generally better than everywhere else.

55 Overall, the coastal region subset offers worse predictability than everywhere else when no

56 constraints are added. This approach to predictability can contribute to the warn-on-forecast

57 initiatives and current-day operational forecasting.

58

### 59 **1. Introduction**

60 The overnight hours (03Z-12Z) are a time when society is particularly vulnerable to

61 severe thunderstorms and tornadoes. These high-impact events are 2.5 times more likely to kill

62 as those that occur during the daytime because of vulnerabilities such as visibility, people being

63 asleep, and people being in weak building structures in comparison to steel or reinforced-

64 concrete buildings during the day (Ashley et al. 2008). Forecasters aim to accurately predict  
65 these severe weather events in order to save lives and improve warnings. The southeast United  
66 States are associated with more tornado occurrences than anywhere else in the United States  
67 from November to May (Fig. 1). Galway and Pearson (1981) examined tornadoes from 1950-  
68 1979 and found that 68% of all tornadoes (1040 out of 1531 tornadoes) occur within the  
69 southeast United States during December to February.

70         Nocturnal tornado environments are often characterized by distinguishable  
71 thermodynamic and kinematic parameters that can create many challenges for forecasters in  
72 the southeastern United States owing to a higher rate of tornado occurrences than the rest of  
73 the contiguous United States (Fig. 1). Tornadoes within this region are generally typified by  
74 weak buoyancy and strong vertical shear (Guyer and Dean 2010), the former of which has  
75 larger predictive uncertainty (e.g., Cohen et al. 2015). Weak buoyancy and strong vertical wind  
76 shear are just a couple of the parameters that can be associated with convection and tornado  
77 potential in the southeast United States.

78         There have been many attempts to improve forecasting techniques for tornado events  
79 in recent history by incorporating numerical weather prediction models and conceptual models  
80 in order to connect the gap between observations and modeling output (e.g, Schwartz et al.  
81 2014, Bryan et al. 2003; Johns and Doswell 1992; Galway 1992; Burgess and Lemon 1990; Lewis  
82 1989; Scofield and Purdom 1986). The result of these technological improvements has offered  
83 forecasting guidance when identifying multiple individual and combined parameters that  
84 distinguish environments potentially capable of producing severe thunderstorms. However,  
85 small-scale processes within the planetary boundary layer associated with turbulent eddies

86 such as vertical mixing related to moisture and heat fluxes, can generate model output errors  
87 (e.g, Cohen et al. 2015; Jankov and Gallus 2004).

88           Increasing the understanding of these high-impact events and the environments that  
89 support such phenomena is a potentially crucial step to improving tornado predictability within  
90 the southeast United States. Forecasters use an array of individual and combined parameters to  
91 anticipate severe weather occurrence in order to improve forecasting for these high-impact  
92 events. In this study, the southeast United States corresponds to an area depicted on a map  
93 provided in Fig. 2. The coastal region, which is a subset of the general Southeast, is also  
94 depicted in Fig. 2. The coastal region subset was selected as another area of focus because of  
95 the extreme number of average tornado watches issued per year (Fig. 3) as well as the  
96 hypothesis that this subset will not have better predictability than that of the southeast U.S. as  
97 a whole when compared to the rest of the contiguous United States. These hypotheses will be  
98 tested by creating conditional probability plots for different parameters and showing statistical  
99 significance between distributions. In addition to focusing on these overlapping areas, this  
100 study will also consider the mutually exclusive area outside of the general southeast United  
101 States across the contiguous United States (subsequently referred to as “everywhere else” or  
102 the like).

103           This study aims to identify a selection of parameters and combination of parameters  
104 that may improve forecasting abilities for these high-impact events by using conditional  
105 probabilities for numerous parameters created from the Statistical Severe Convective Risk  
106 Assessment Model (Hart and Cohen 2016a). The Statistical Severe Convective Risk Assessment  
107 Model (Hart and Cohen 2016a) output yields probabilities based on previous severe weather

108 events given different atmospheric parameters. Doswell and Schultz (2006) challenged the  
109 belief of using diagnostic parameters to accurately draw conclusions about the future state of  
110 the atmosphere. Parameters investigated in detail in the present study include the following:  
111 0-1-km shear, 0-1-km storm-relative helicity (SRH), 100-mb mixed layer convective available  
112 potential energy (MLCAPE), 100-mb mixed layer lifted condensation level heights (MLLCL  
113 heights), effective bulk shear, effective storm-relative helicity (effective SRH), and significant  
114 tornado parameter (STP), which is defined by Thompson et al. (2012). In conjunction with this  
115 present study, the potential utility and use of SSCRAM was studied by a fellow Research  
116 Experience for Undergraduates student, David Nowicki (Nowicki 2017). The goal behind this  
117 combined study is to contribute to the warn-on-forecast program to improve warning lead  
118 times for these severe weather events (Hart and Cohen 2016a).

119

## 120 **2. Methodology**

121

### 122 *a.) Data Collection*

123 The probabilities used in this study are conditional upon cloud-to-ground (CG) lightning  
124 occurrence and are related to downstream tornado reports. As described by Hart and Cohen  
125 (2016a), the 40-km RUC-2/RAP grid boxes within the general southeast domain, embedded  
126 coastal domain, and the everywhere else domain are considered. SSCRAM identifies all grid  
127 boxes in each of these domains in which CG lightning occurs (Fig. 4), including several attributes  
128 such as date, time, center point of the grid box (latitude/longitude), and Bunkers et al. (2000)  
129 right-moving supercell motion to represent downstream storm trajectory within the next 2

130 hours as well as the environment conditions from SPC Mesoanalysis system (Bothwell et al.  
131 2002) characteristic of the near-storm environment for that grid box.

132 The validity of Bunkers et al. (2000) right-moving storm motion was shown in Hart and  
133 Cohen (2016a) paper as the preferred method of calculating downstream storm trajectory  
134 based on the consistent structural patterns for conditional probability distributions for different  
135 parameters after comparing four different methods of estimating storm motion. Since the  
136 majority of tornadoes are associated with supercells, the use of Bunkers et al. (2000) storm  
137 motion is the appropriate method for high-impact weather events (Hart and Cohen 2016a).  
138 Furthermore, using Bunkers et al (2000) supercell motion does limit SSCRAM's use in  
139 distinguishing between other convective modes (Hart and Cohen 2016a).

140 The dataset from which these conditional probabilities arise is gathered by following  
141 Bunkers et al. (2000) storm motion 2 hours downstream from the center point of the lightning-  
142 containing grid box. Tornado reports are gathered at each subsequent hour, downstream from  
143 the center point of the lightning-containing grid box. The search radius at each subsequent hour  
144 downstream from the center point of the lightning-containing grid box is 40 km. As described in  
145 Hart and Cohen (2016a), 40-km was chosen due to the consistency of the grid length of the SPC  
146 Mesoanalysis dataset. Additionally, this radius accounts for any displacement to the storm  
147 motion downstream of the center point of the initial lightning-containing grid box. The dataset  
148 that is attained from this process links environmental parameters to tornado potential in the  
149 future.

150

151 *b.) Statistical analysis procedure*

152 Two measures of statistical analysis, with plots, are the focus in the discussion following  
153 this section corresponding to the environmental parameters described above: 1) conditional  
154 probabilities of a tornadic events, described in Hart and Cohen (2016) and 2) the statistical  
155 significance of the distributions between the southeastern U.S. and the remainder of the  
156 CONUS; and the coastal region subset and the rest of the CONUS. The conditional probabilities  
157 indicate the frequency of a tornadic event given certain atmospheric parameters associated  
158 with lightning. As an example, in this study, a conditional probability of 40% for a particular  
159 range signifies that 40% of lightning-producing thunderstorms with that parameter range go on  
160 to produce a tornado within the next 2 hours. It should be noted that the probabilities are not a  
161 forecast, but an observation based off of prior events.

162 A Z-Test (Kanji 2006) was used to determine whether differences between distributions  
163 are statistically significant. P-values were calculated and are overlaid on each graph to  
164 represent different distributions. A green dot represents a p-value of  $<0.05$ , which indicates  
165 that the difference between the two compared regimes for a given parameter range is  
166 statistically significantly, and a yellow dot indicates a p-value of  $0.05-0.1$ , which indicates that  
167 the difference between the two compared regimes for a given parameter range is marginally  
168 statistically significantly different. To remain consistent with both Hart and Cohen (2016)  
169 papers, any total number of environments less than 25, will not be plotted in the figures below.

170

### 171 **3. Results and discussion**

172

173 *a.) Statistical results for the southeast U.S.*

174           Conditional probabilities of tornado events (weak or significant) for the southeast U.S  
175 are found to increase slightly with increasing 0-1-km shear, as shown in Fig. 5a. Throughout the  
176 following discussion sections, probability plots will only represent any tornado event (weak or  
177 significant) unless stated otherwise. Most of the tornado environments occur within weak-to-  
178 moderate low-level shear regimes, and the overall utility in 0-1-km shear for predicting  
179 tornadoes, is quite limited. In subsequent sections, most of the tornado environments occur  
180 within weaker regimes; however, the focus of this analysis will be examining predictability as  
181 parameters increase. Along with 0-1-km shear, 0-1-km SRH conveys the same overall pattern  
182 when it comes to predicting tornadoes as shown in Fig. 5b. The overall predictability is quite  
183 weak, while only reaching a maximum probability of 5% with a respective parameter value of  
184  $650 \text{ (m}^2 \text{ s}^{-2}\text{)}$ . In contrast to 0-1-km shear and 0-1-km SRH, effective bulk shear shows a steep  
185 slope of increasing probabilities with shear values for the southeast U.S from 45 to 70 kt as  
186 shown in Fig. 4e. Along with good predictability, there is statistical significance between the  
187 southeast U.S. and everywhere else within most of this parameter range. This complements  
188 previous studies that highlight the relationship between strong shear environments and  
189 tornado occurrences within the southeast U.S. (Guyer and Dean 2010). Because southeast U.S  
190 tornado environments are typically associated with strong vertical shear and the steep slope of  
191 conditional probabilities compliments this finding, 50 kt of effective bulk shear will be used as a  
192 constraint in later sections.

193           Conditional probabilities of tornado events do not vary throughout the entire MLCAPE  
194 range for the southeast U.S., as shown in Fig. 5c. This suggests that low-CAPE environments  
195 during the night and early morning hours only need marginal buoyancy to maintain convective



196 updrafts when other environmental parameters are favorable, e.g., shear (Guyer and Dean  
197 2010). In addition to MLCAPE, MLLCL heights show a slight increase in conditional probabilities  
198 for low-level MLLCL heights and a slight decrease for high MLLCL heights. The slight decrease in  
199 probabilities is presumed to be because of the smaller sample size characterized by these  
200 higher LCL heights.

201           Operational meteorologists often reference effective SRH and STP for tornado  
202 forecasting (Hart and Cohen 2016a) with analyses for these parameters in Figs 5f and 5g,  
203 respectively. The effective SRH range of 250 to 550 ( $m^2 s^{-2}$ ) shows a substantial increase in  
204 probabilities from 1% to 17.5%; however, the southeast U.S. and the remainder of the CONUS  
205 follow the same distribution. Throughout the U.S., including the Southeast, good predictability  
206 is shown with high effective SRH magnitudes, reaching probability maxima at 550 ( $m^2 s^{-2}$ ) of  
207 15% and 17.5%, respectively. STP is often referenced in operational meteorology to help  
208 illustrate significant tornadoes (EF2 or greater) (Thompson et al. 2012). Lower ranges of STP (0-  
209 4) show a major increase in conditional probabilities for significant tornadoes by reaching up to  
210 12% before slightly declining thereafter; this is presumed to be because of a smaller sample size  
211 with increasing STP values. While not shown, the signal for conditional probabilities for weak  
212 tornadoes in the southeast using STP was weak as it only reached to 6% with the same  
213 parameter range.

214

215 *b.) Statistical results with a constraint of 50 kt effective bulk shear*

216           The best predictor for tornadoes for any parameter with no constraint was the effective  
217 bulk shear range of 45 to 70 kt. For this reason, at least 50 kt of effective shear was added as a

218 constraint to show predictability for different parameters. It should be noted that this  
219 constraint was also based upon values relevant to organized, severe convection in the  
220 southeast U.S. The three parameters investigated in detail here are 0-1-km shear, 0-1-km SRH,  
221 and MLLCL heights. These parameters showed the best predictability when the constraint of 50  
222 kt of effective bulk shear was added.

223 As shown in Fig. 6a, predictability of tornado events for 0-1-km shear is better when the  
224 constraint of at least 50 kt of effective shear was added. Specifically, strong low-level shear  
225 regimes between 40 to 60 kt show the best predictability for this parameter. In addition, the  
226 southeast U.S. shows better predictability than everywhere else and these distributions are  
227 statistically significantly different which demonstrates that the southeast U.S. does follow a high-  
228 shear regime for tornado environments. In addition to 0-1-km shear, 0-1-km SRH exhibits good  
229 predictability; specifically, from 0 to 600 ( $\text{m}^2 \text{s}^{-2}$ ) when at least 50 kt of effective shear is added  
230 as a constraint. Although the southeast U.S offers better predictability than the remainder of  
231 the CONUS after 200 ( $\text{m}^2 \text{s}^{-2}$ ), the distributions are only statistically significantly different for the  
232 parameter range of 400-600 ( $\text{m}^2 \text{s}^{-2}$ ) as shown in Fig. 6b.

233 The last variable associated with better predictability compared to the rest of the  
234 CONUS when 50 kt of effective shear is added as a constraint is MLLCL heights, particularly  
235 when MLLCL heights are low (300-800 m) as depicted in Fig. 6c. Between this range, conditional  
236 probabilities increase from 1% to about 11% and then begin to steadily decrease as the number  
237 of environments also decreases. This trend in the southeast U.S. is consistent with the  
238 remainder of the CONUS with regard to low probabilities as LCL heights reach 1000m. This is a  
239 reflection that not many environments in the United States with high MLLCLs go on to produce

240 downstream tornadoes, even when there is strong, deep vertical shear in the background  
241 environment.

242

243 *c.) Statistical results for the coastal region subset*

244 To illustrate predictability within the southeast U.S., the coastal region was added as a  
245 subset to see how predictability would compare to everywhere else. Throughout this study, it  
246 was found that when the coastal region subset was compared to the remainder of the CONUS,  
247 the coastal region generally offered worse predictability than everywhere else. Conditional  
248 probabilities of tornado events (weak or significant) for the coastal region subset are found to  
249 increase with values of STP ranging from 0 to 5. Along with that, most of the tornado events  
250 occur in this range and the distribution is statistically significantly different than the rest of the  
251 CONUS. Although the coastal region subset offers good predictability for this STP range, the  
252 remainder of the CONUS offers consistently better predictability, as shown in Fig. 7. As the  
253 coastal region begins a decline in probabilities, the rest of the CONUS offers a continuous, steep  
254 slope through STP values of 8.

255 Tornado events for the coastal region subset are found to vary slightly with effective  
256 bulk shear as compared to the rest of the CONUS, only reaching a maximum probability of 6%  
257 at 65 kt. We speculate this to be because of the smaller sample size as shear magnitudes  
258 increase. Furthermore, the coastal region subset offers worse predictability than everywhere  
259 else between the range of 35-70 kt when the distributions become statistically significantly  
260 different.

261

262 *d.) Predictability differences based on tornado intensity variations*

263 Predictability for weak tornadoes (EF0-EF1) and significant tornadoes (EF2-EF5) can be  
264 distinguished for different parameters. When 50 kt of effective bulk shear is added as a  
265 constraint to 0-1-km SRH, the increase in conditional probabilities for significant tornadoes is  
266 much greater than weak tornadoes as shown in Fig. 8a and 8b. As shown in Fig. 8b and 8c, large  
267 magnitudes of vertical shear, in relationship with downstream tornado occurrence highlighting  
268 0-1-km shear of 60 kt, 13% and 6% of those grid boxes verify with weak and significant  
269 tornadoes, respectively. Furthermore, sizeable magnitudes of effective SRH for weak and  
270 significant tornadoes occur within 0 to 350 ( $\text{m}^2 \text{s}^{-2}$ ) as shown in Fig. 8e and 8f, respectively;  
271 however, the relationship with downstream tornado occurrence emphasizing effective SRH of  
272 550 ( $\text{m}^2 \text{s}^{-2}$ ), 8% and 13% of those grid boxes verify with weak and significant tornadoes,  
273 respectively.

274

## 275 **Conclusions**

276 The Statistical Severe Convective Risk Assessment Model (Hart and Cohen 2016a)  
277 helped show the relationship of predictability between the southeast U.S. and everywhere else,  
278 which is a mutually exclusive area outside of the general southeast United States across the  
279 contiguous United States, and the coastal region subset and the remainder of the CONUS was  
280 investigated in this present study. Various parameters, with constraints, showed an increase in  
281 conditional probabilities and overall better predictability for the southeast U.S. than the rest of  
282 the CONUS. Specifically, at least 50 kt of effective bulk shear is found to offer better  
283 predictability for the southeast U.S. than everywhere else when added as a constraint for

284 different parameters. The coastal region subset, in general, offered worse predictability than  
285 everywhere else when the distributions became statistically significantly different. This  
286 illustrates the difficulty predicting nocturnal tornadoes in the coastal region subset during the  
287 period from November to May. In addition, tornado intensity was considered for different  
288 parameters and revealed that for strong low-level shear with deep shear, weak tornadoes offer  
289 better predictability than significant tornadoes in the southeast U.S. Ultimately, this work can  
290 directly influence the warn-on-forecast initiative to help improve lead times for high-impact  
291 events based on parameters and a lightning-producing thunderstorm.

292

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383

### Figure Captions

384 FIG. 1. Example of climatology of tornadoes by state from 1991-2010 for (a) November (b)  
385 December (c) January (d) February (e) March (f) April (g) March (National Climate Data Center  
386 2017).

387

388 FIG. 2. Map of study domains. Within the red-shaded domain represents the general southeast  
389 and within the blue-shaded domain represents the coastal region subset of the general  
390 southeast.

391

392 FIG. 3. Example of annual average tornado watches per year (20y Avg. 1993-2012) with watches  
393 per county shown.

394

395 FIG. 4. SSCRAM conditional probability output (Hart and Cohen 2016) at 15Z based on  
396 significant tornado parameter, 100-mb mixed-layer CAPE, 3-km AGL wind speed, and season.

397 Red-highlighted grid boxes indicate at least one CG lightning strike within that grid box.

398 Conditional probabilities are shown within each grid box.

399

400 FIG. 5. (a) Conditional probability plot for the southeast U.S. (red) with p-values plotted (green

401 or yellow) overlaid corresponding to respective ranges, coastal region subset (blue) with p-

402 values overlaid on respective ranges, and everywhere else (black) for 0-1-km shear (kt) on the

403 x-axis, table of total environments relative to each distribution below the x-axis, and conditional

404 probability on the y-axis. (b) As in Fig. 5a, but for 0-1-km SRH. (c) as in Fig. 5a, but for MLCAPE.

405 (d) As in Fig. 5a, but for MLLCL height. (e) As in Fig. 5a, but for effective bulk shear. (f) As in Fig.

406 5a, but for effective SRH. (f) As in Fig. 5a, but for STP.

407

408 FIG. 6. (a) As in Fig. 5a, but with a constraint of 50 kt of effective bulk shear for 0-1-km shear.

409 (b) As in Fig. 6a, but for 0-1-km SRH. (6) As in Fig. 6a, but for 0-1-km MLLCL height.

410

411 FIG. 7. As in Fig. 5a, but for STP.

412

413 FIG. 8. As in Fig. 6a, but for weak tornadoes for 0-1-km SRH. (b) As in Fig. 6b, but for significant  
414 tornadoes. (c) As in Fig. 8a, but for 0-1-km shear. (d) As in Fig. 8b, but for 0-1-km shear. (e) As in  
415 Fig. 8a, but for effective SRH. (f) As in Fig. 8b, but for effective SRH.

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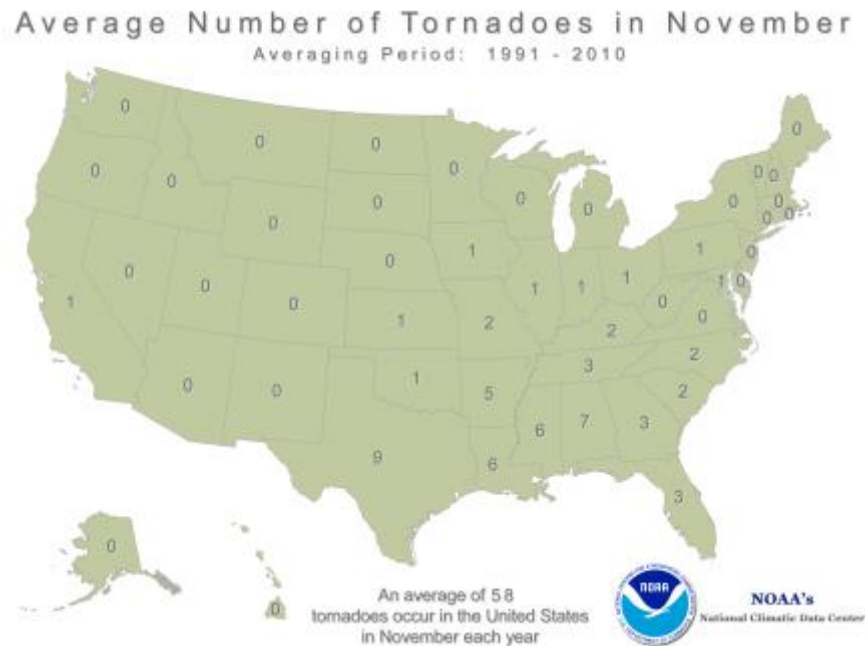
### Figures

418

(1)

419

(a)



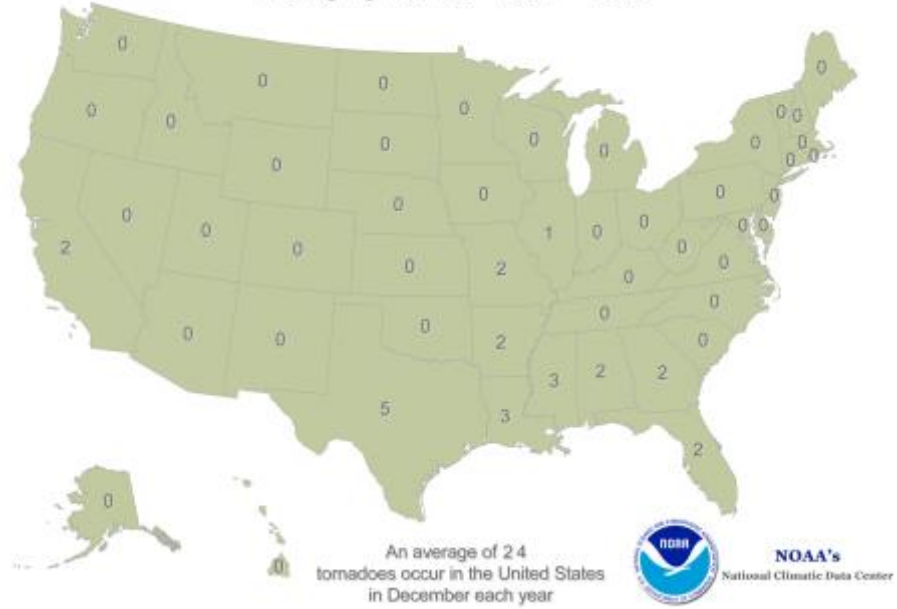
420

421

422

(b)

Average Number of Tornadoes in December  
Averaging Period: 1991 - 2010



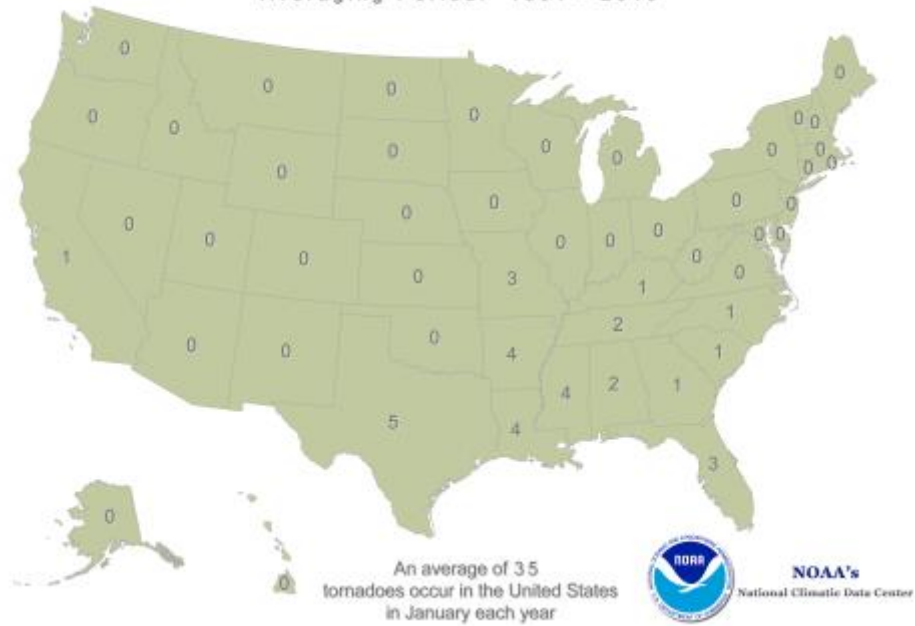
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425

(c)

Average Number of Tornadoes in January  
Averaging Period: 1991 - 2010



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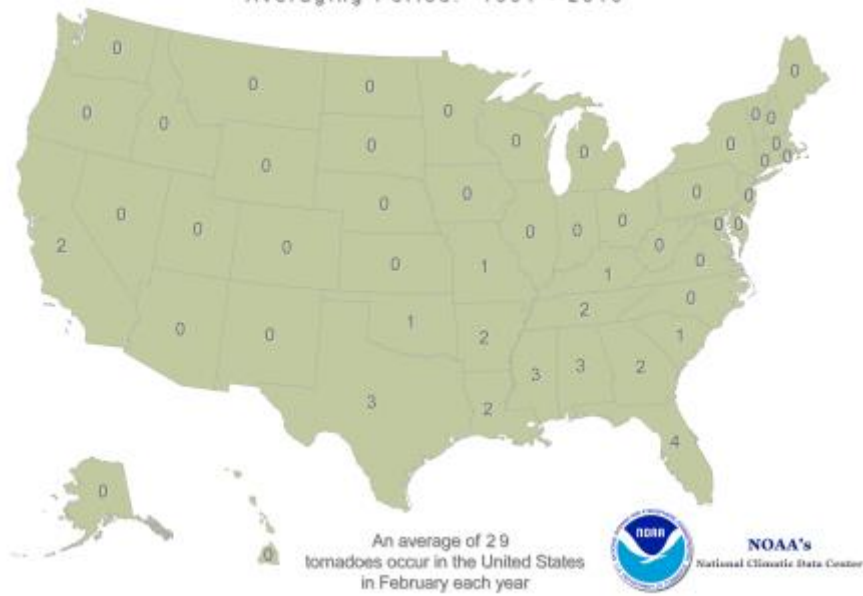
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(d)

### Average Number of Tornadoes in February

Averaging Period: 1991 - 2010



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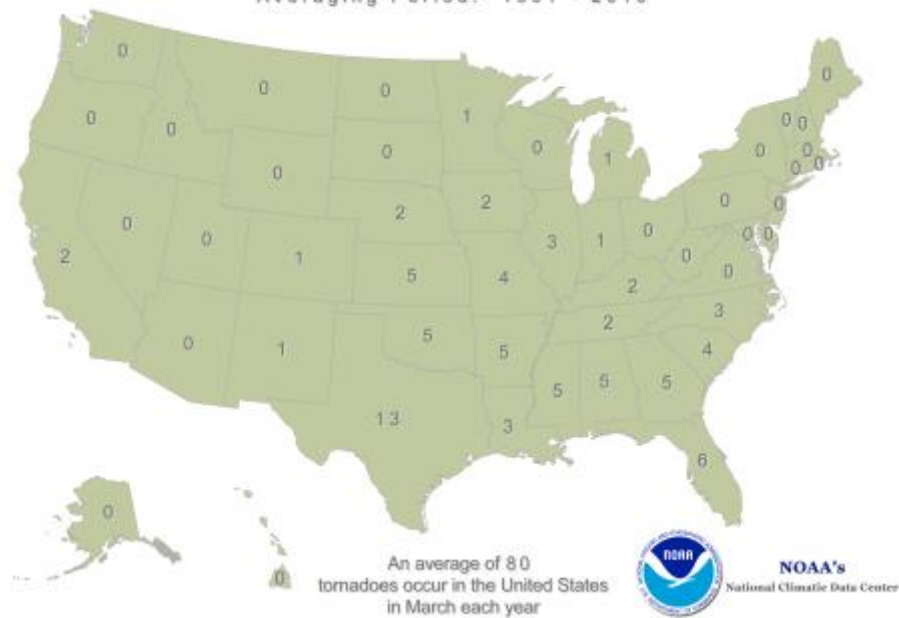
430

(e)

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### Average Number of Tornadoes in March

Averaging Period: 1991 - 2010



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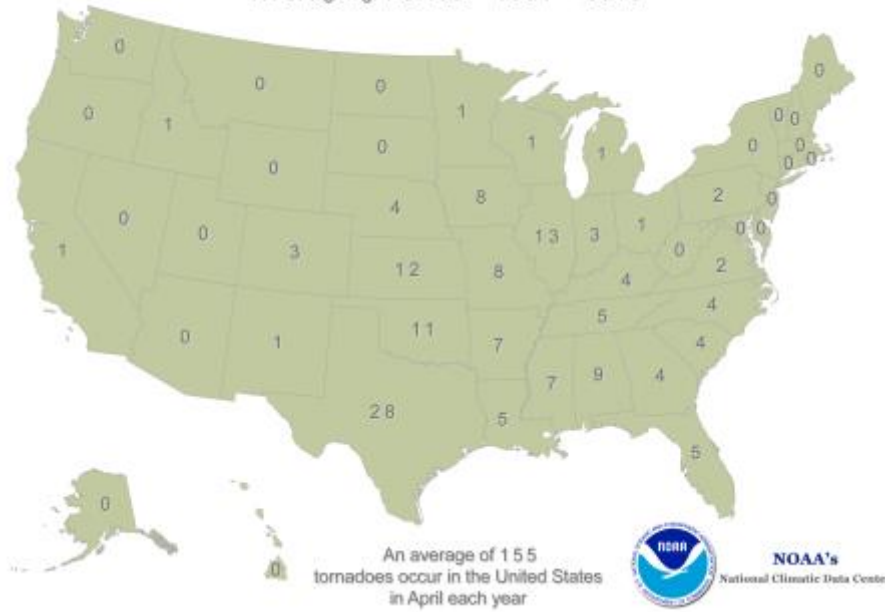
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(f)

### Average Number of Tornadoes in April

Averaging Period: 1991 - 2010



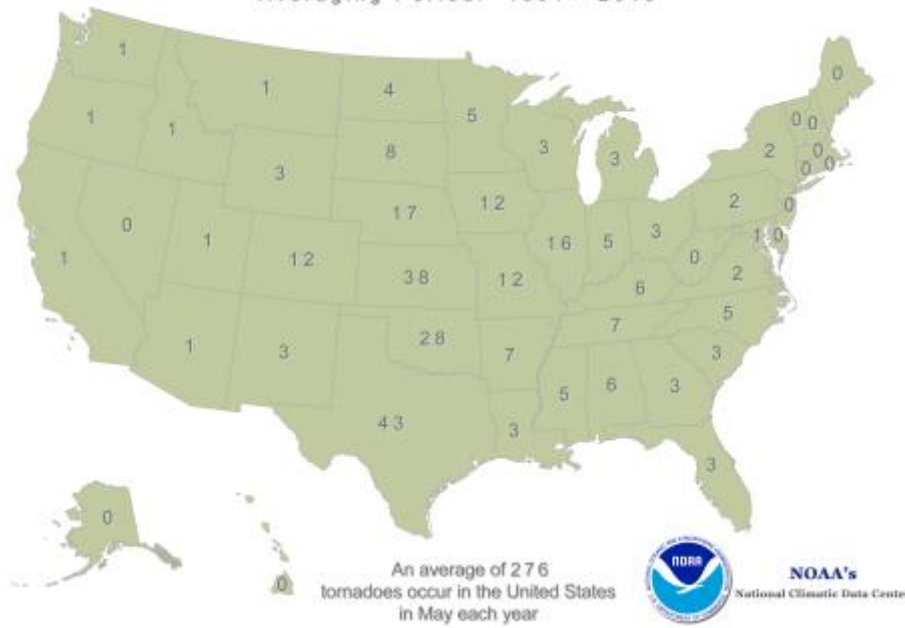
435

436

(g)

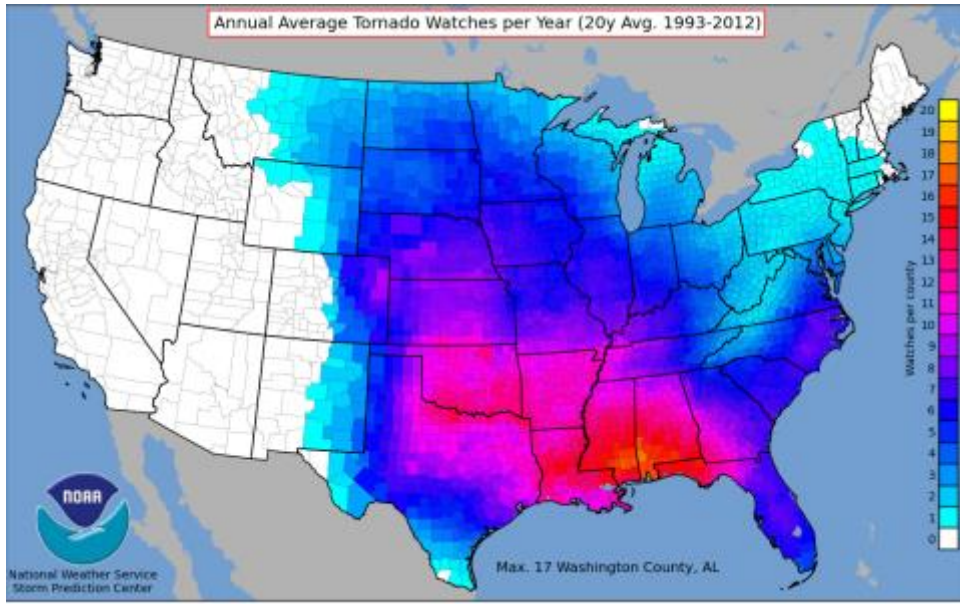
### Average Number of Tornadoes in May

Averaging Period: 1991 - 2010



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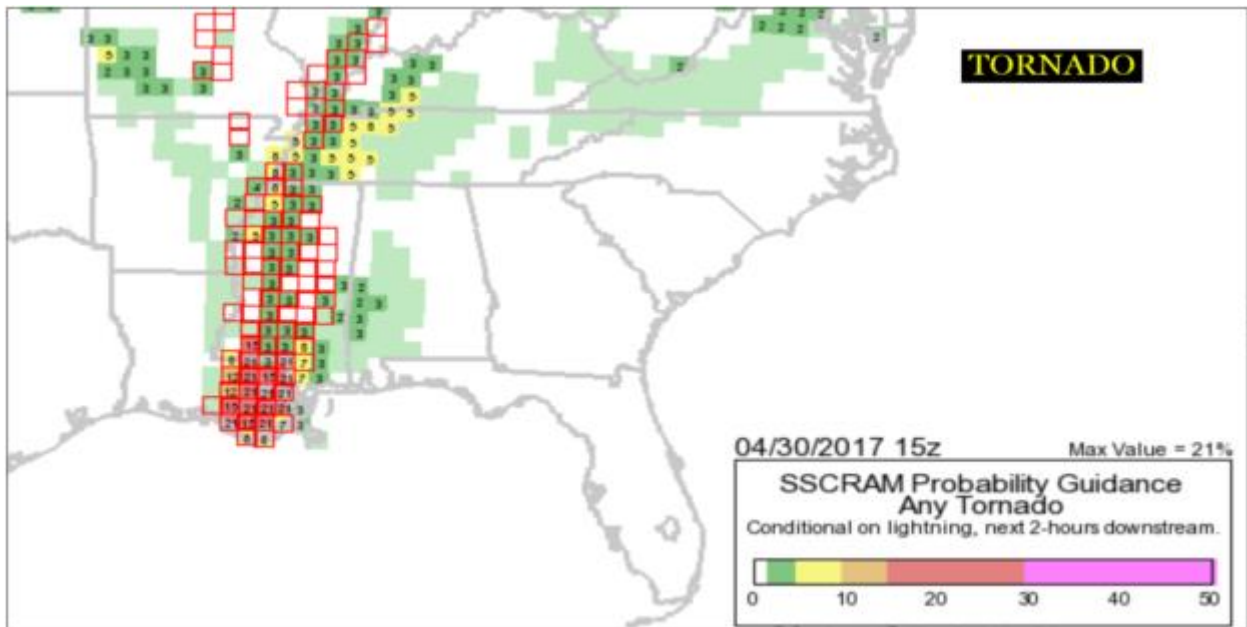
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449 FIG. 3. Example of annual average tornado watches per year (20y Avg. 1993-2012) with watches  
 450 per county shown.

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(4)



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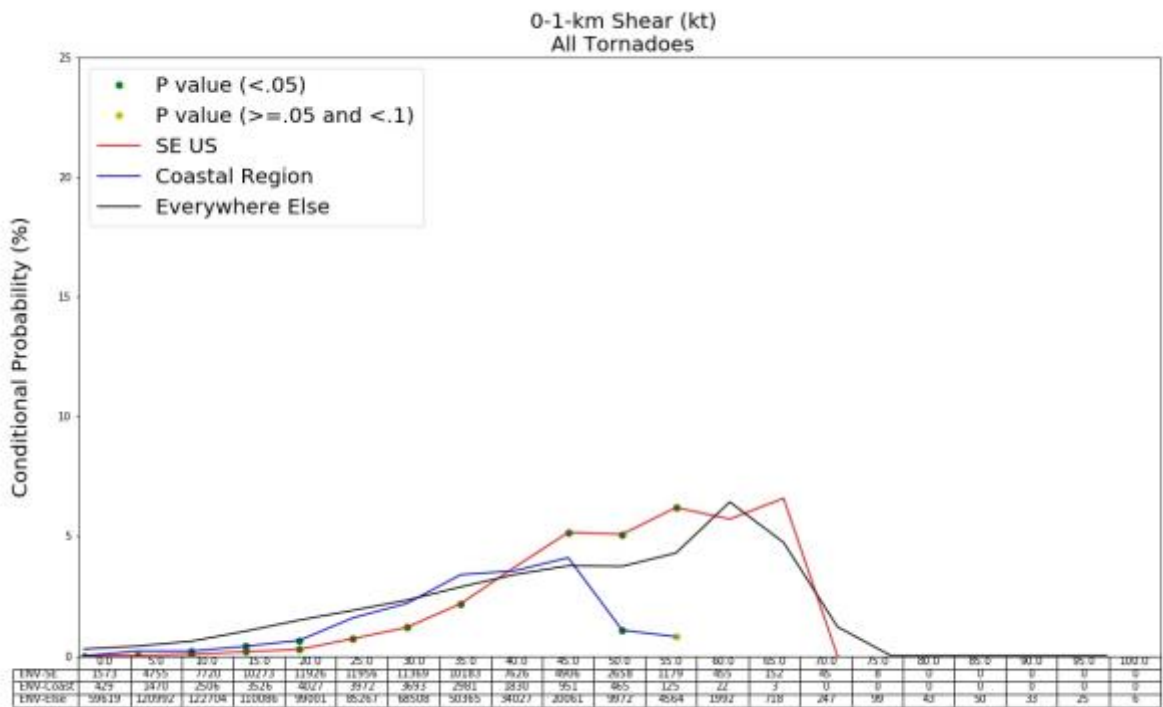


454 FIG. 4. SSCRAM conditional probability output (Hart and Cohen 2016) at 15Z based on  
 455 significant tornado parameter, 100-mb mixed-layer CAPE, 3-km AGL wind speed, and season.  
 456 Red-highlighted grid boxes indicate at least one CG lightning strike within that grid box.  
 457 Conditional probabilities are shown within each grid box.

458

459 (5)

460 (a)



461

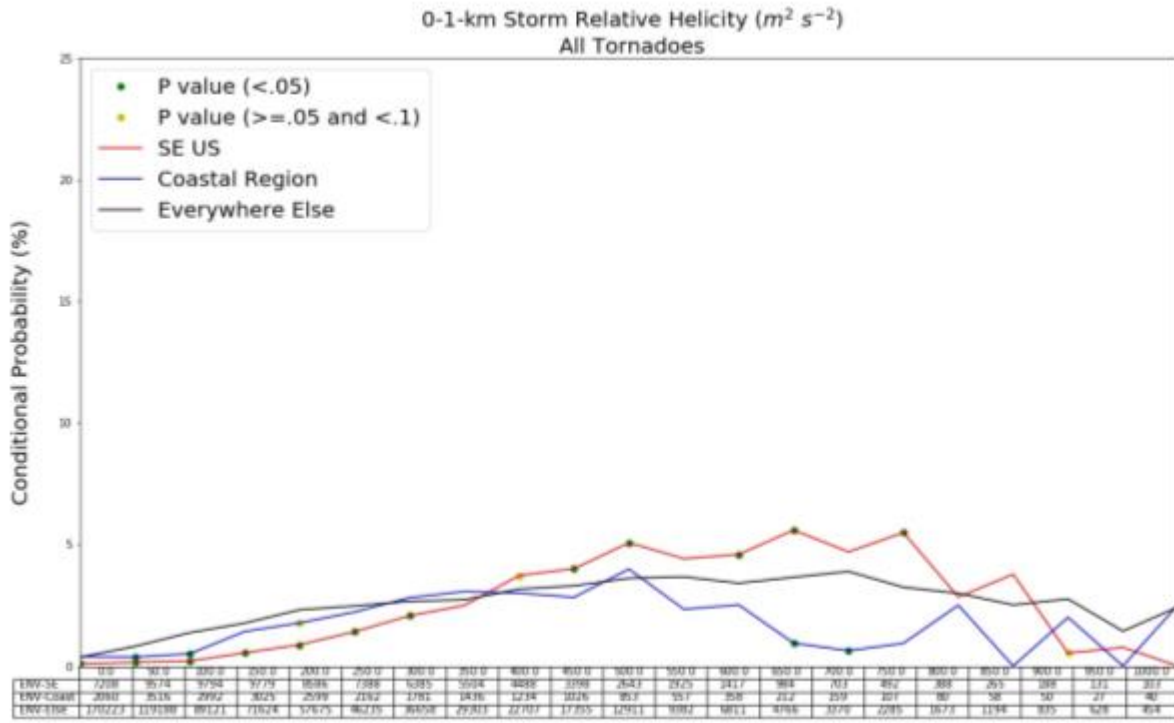
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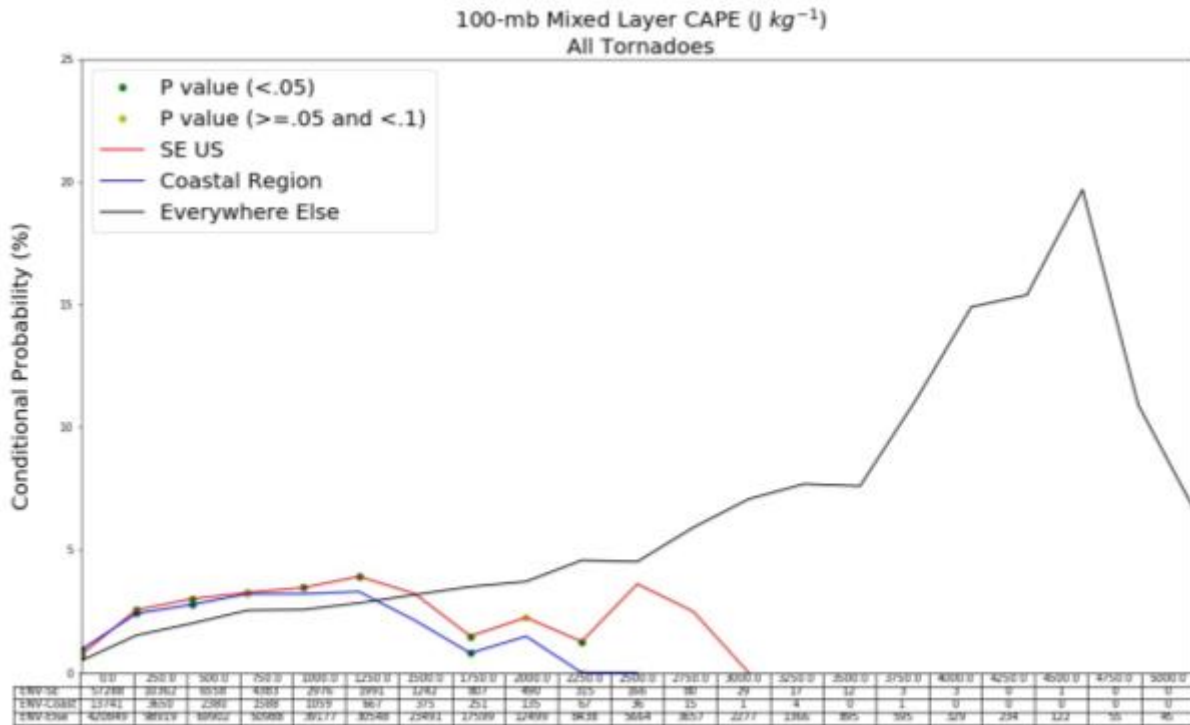
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465

(b)

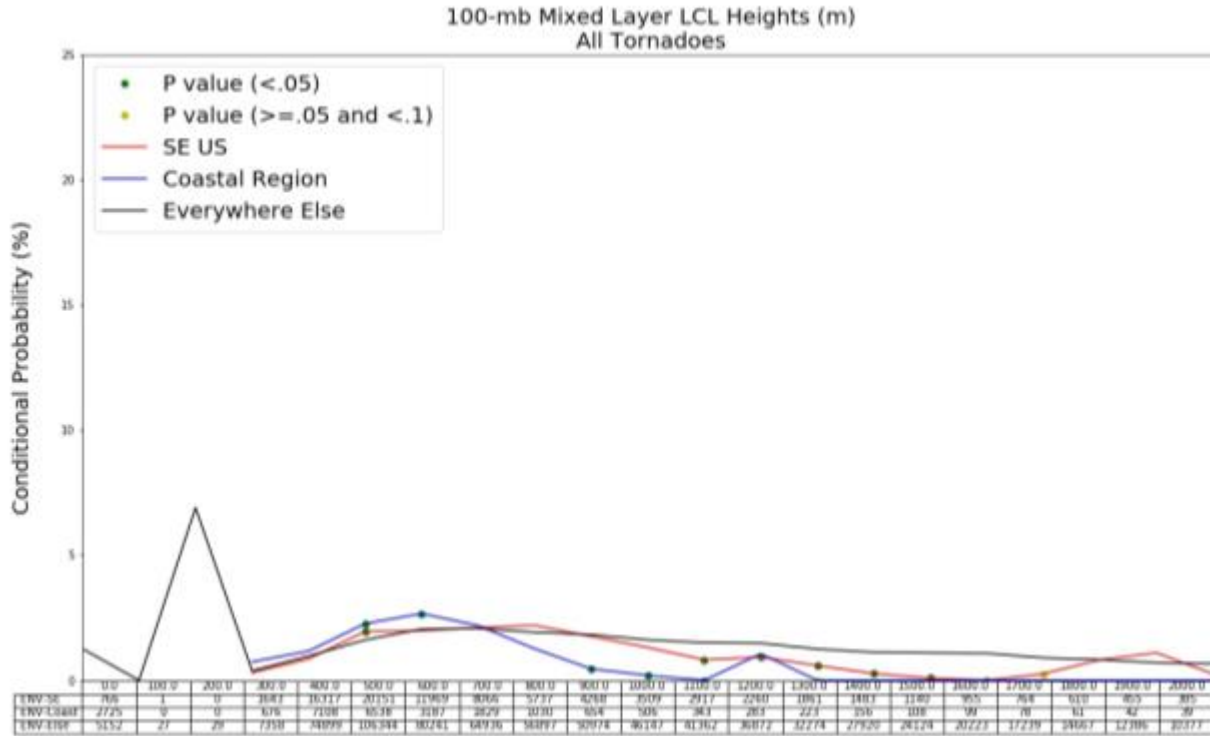


(c)



470

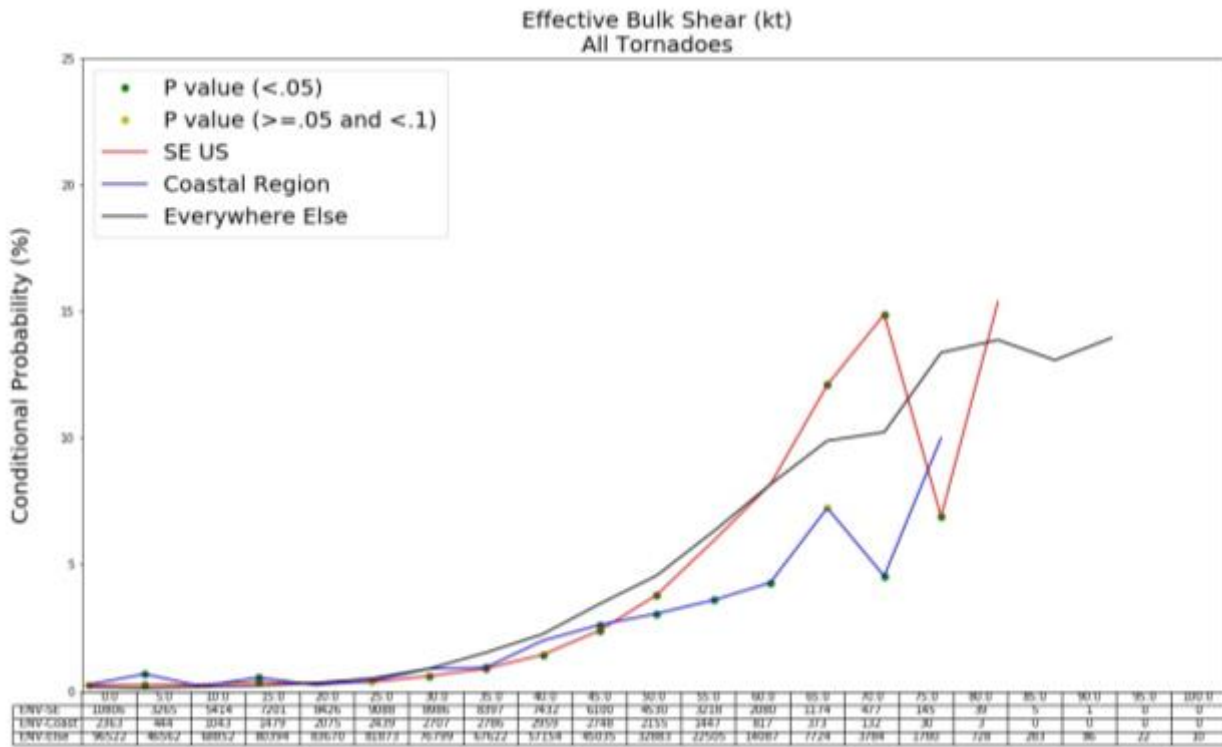
(d)



471

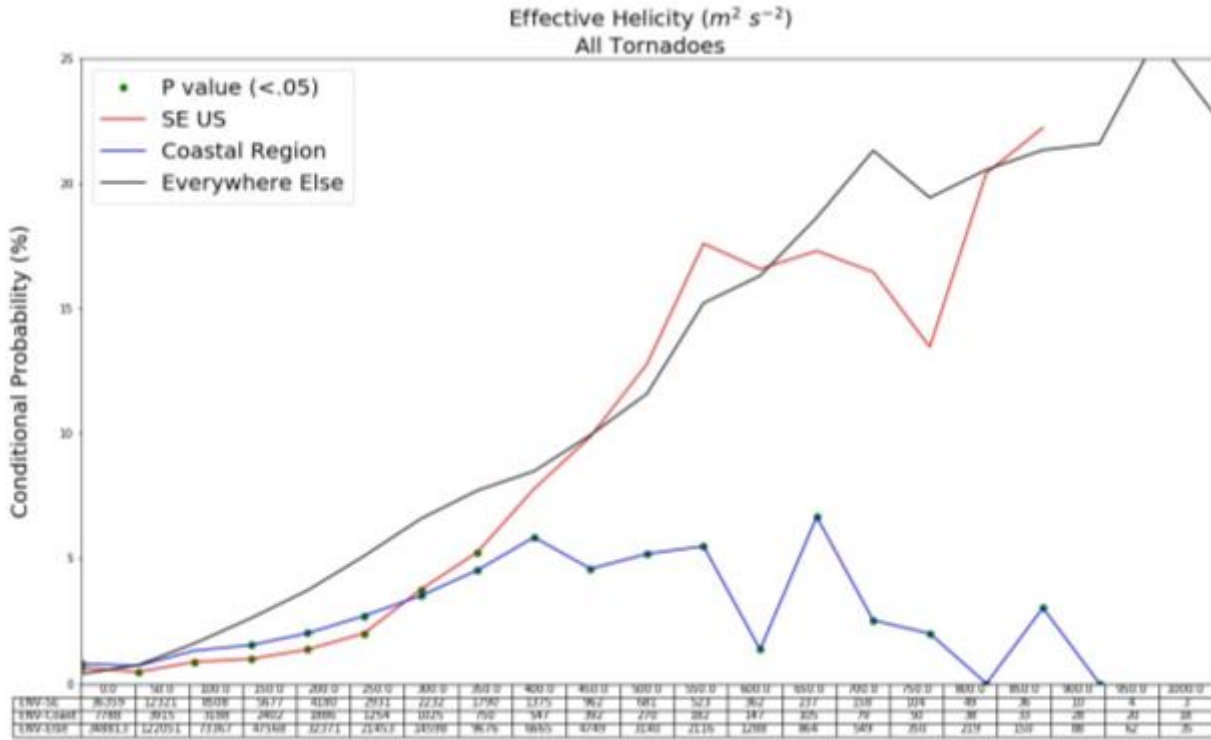
472

(e)



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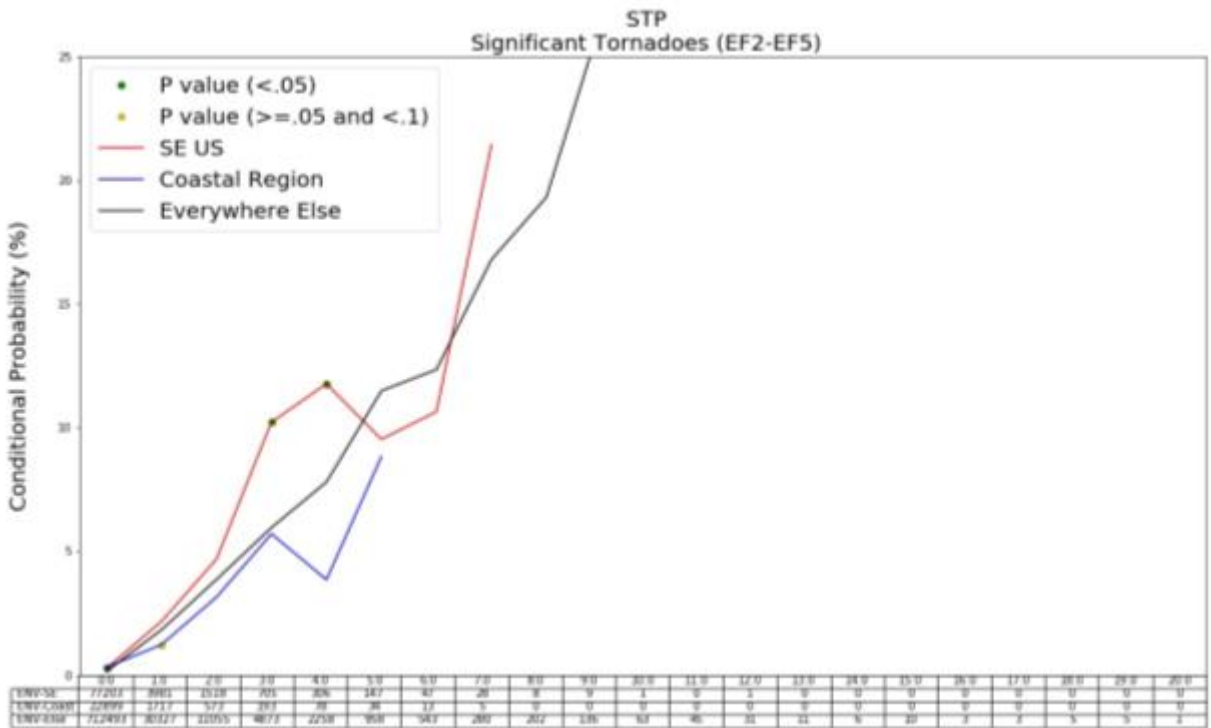
(f)



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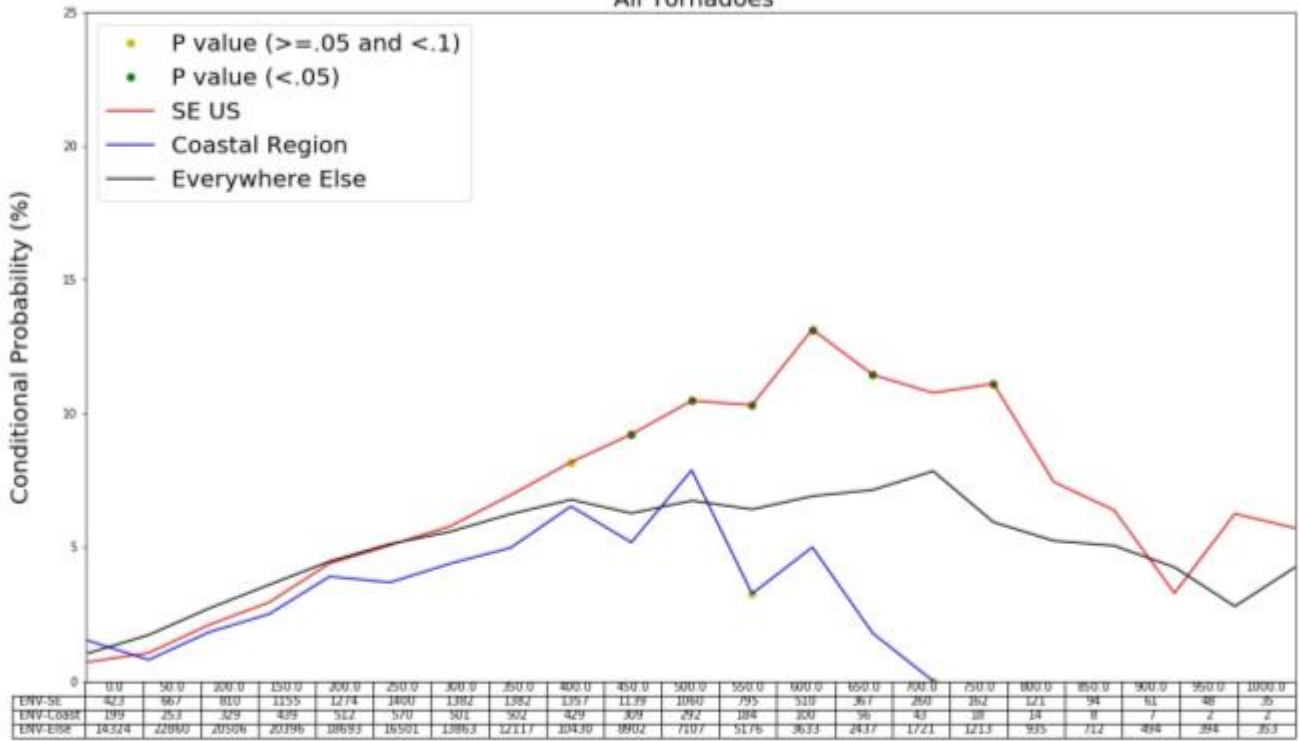
475

(g)



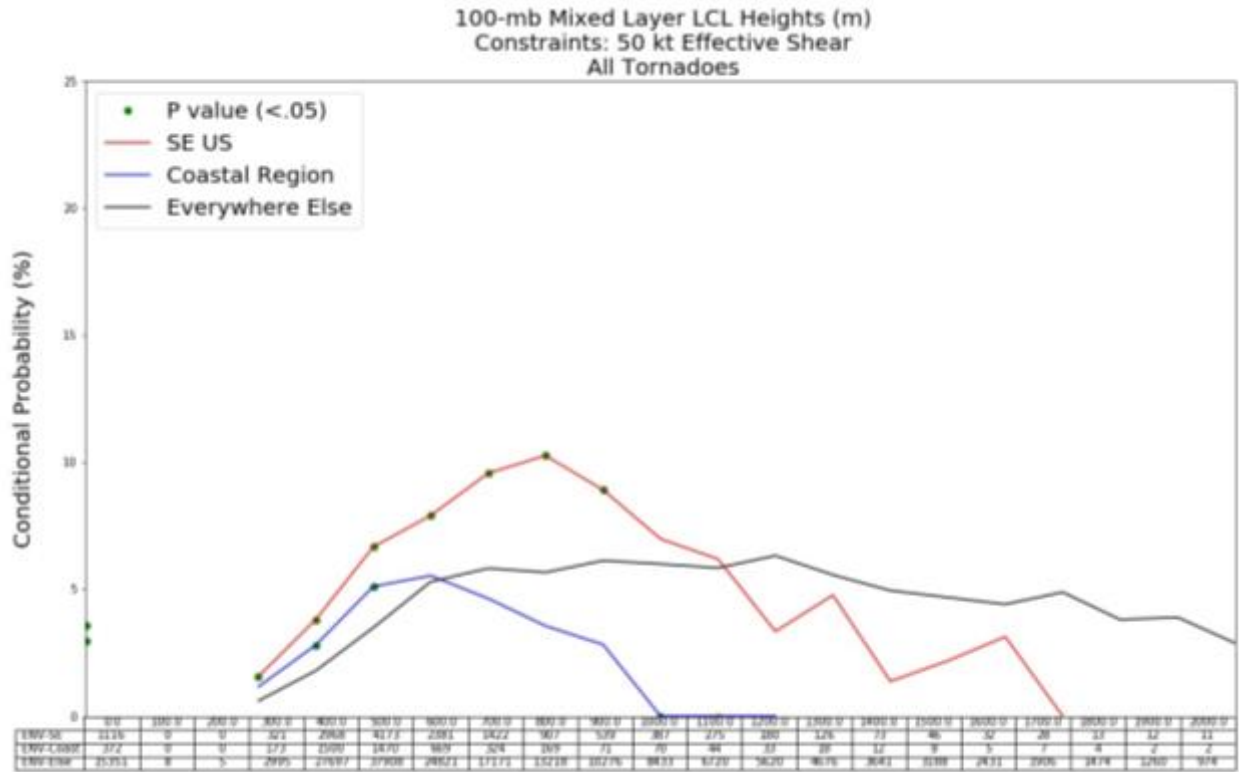


0-1-km Storm Relative Helicity ( $m^2 s^{-2}$ )  
 Constraints: 50 kt Effective Shear  
 All Tornadoes



487

(c)



488

489

490 FIG. 6. (a) As in Fig. 5a, but with a constraint of 50 kt of effective bulk shear for 0-1-km shear.

491 (b) As in Fig. 6a, but for 0-1-km SRH. (c) As in Fig. 6a, but for 0-1-km MLLCL height.

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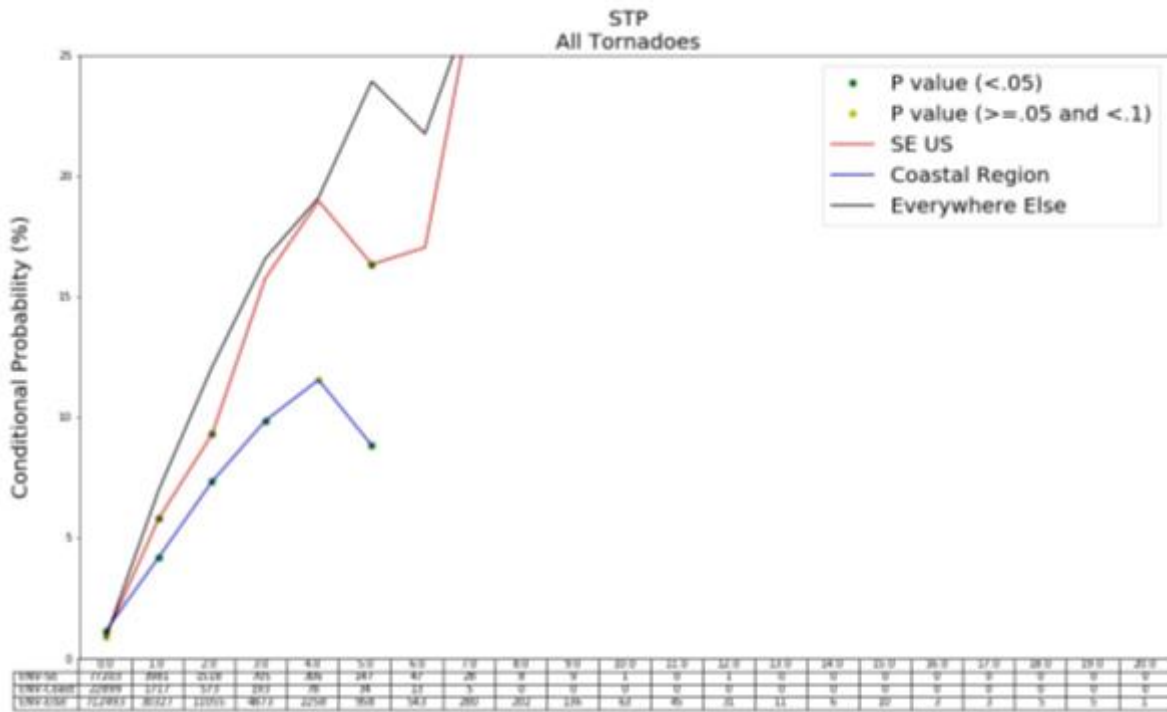
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501 FIG. 7. As in Fig. 5a, but for STP.

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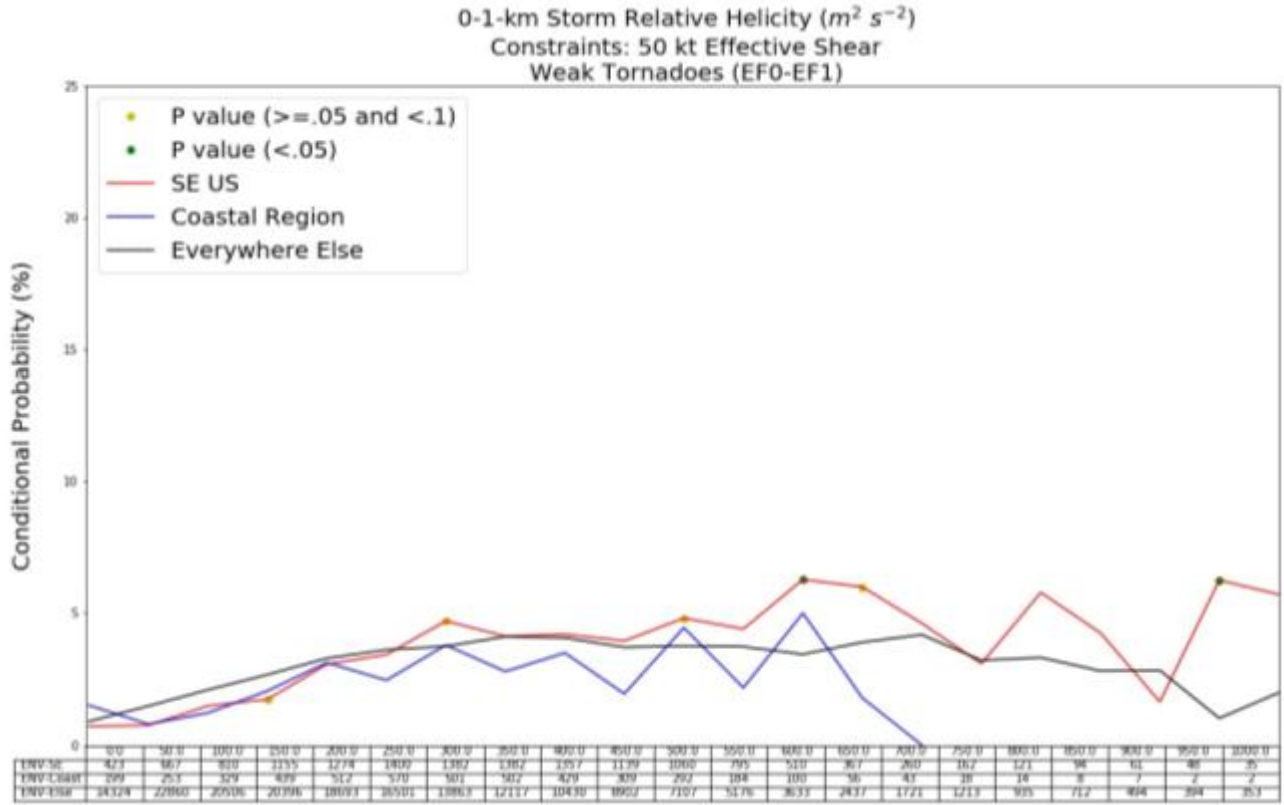


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(8)

513

(a)



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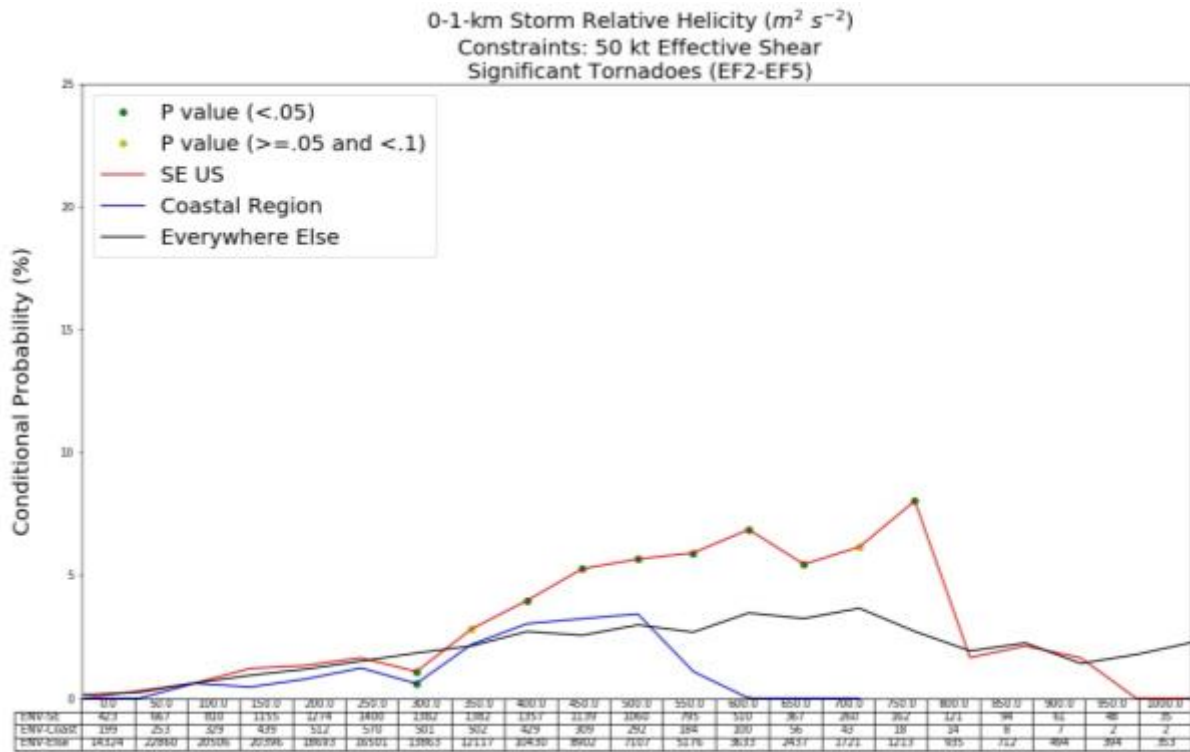
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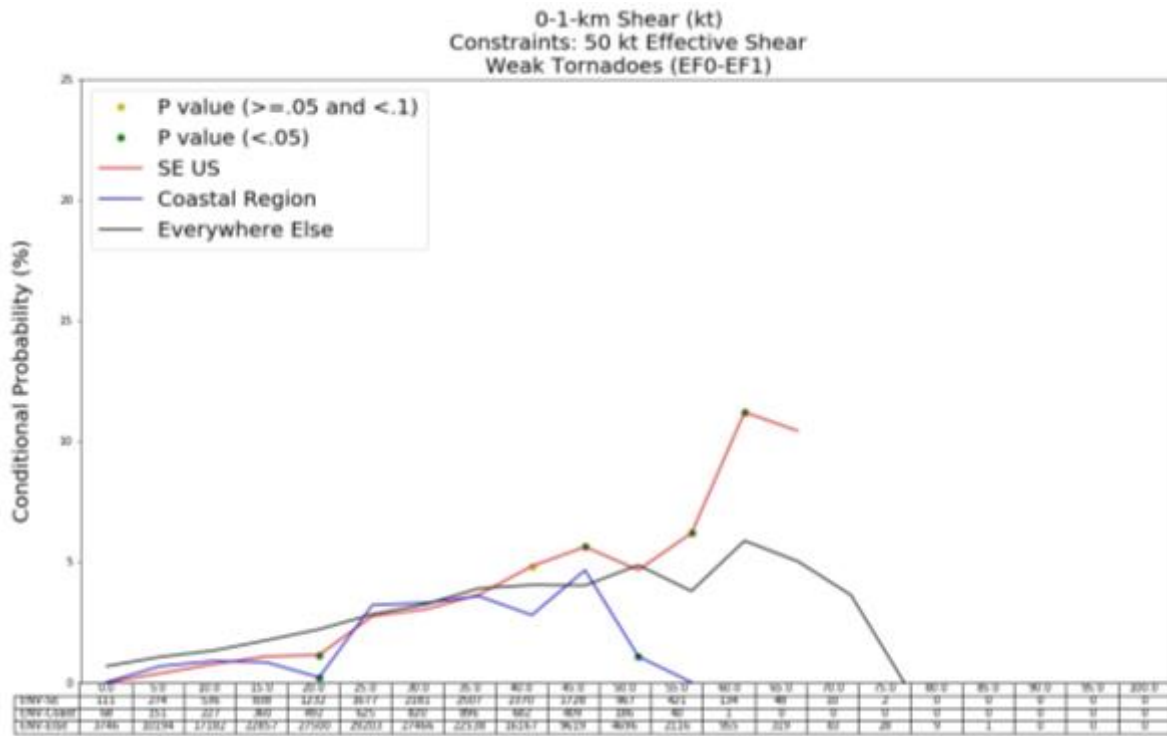
522

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(b)



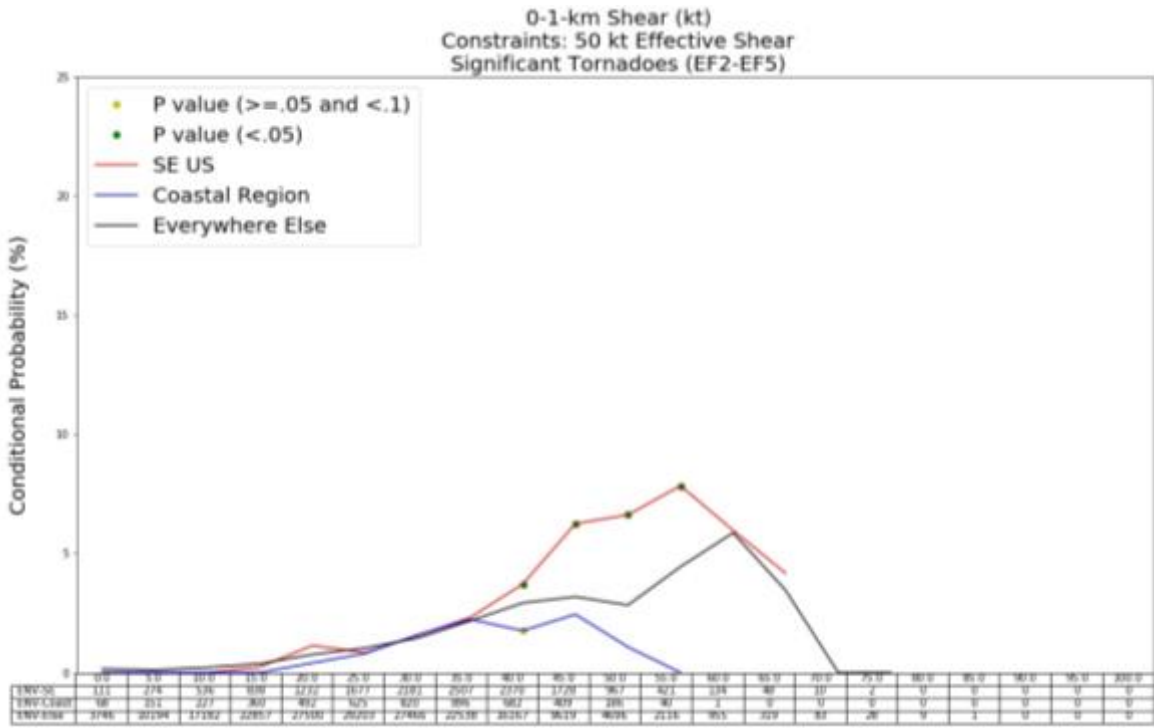
(c)



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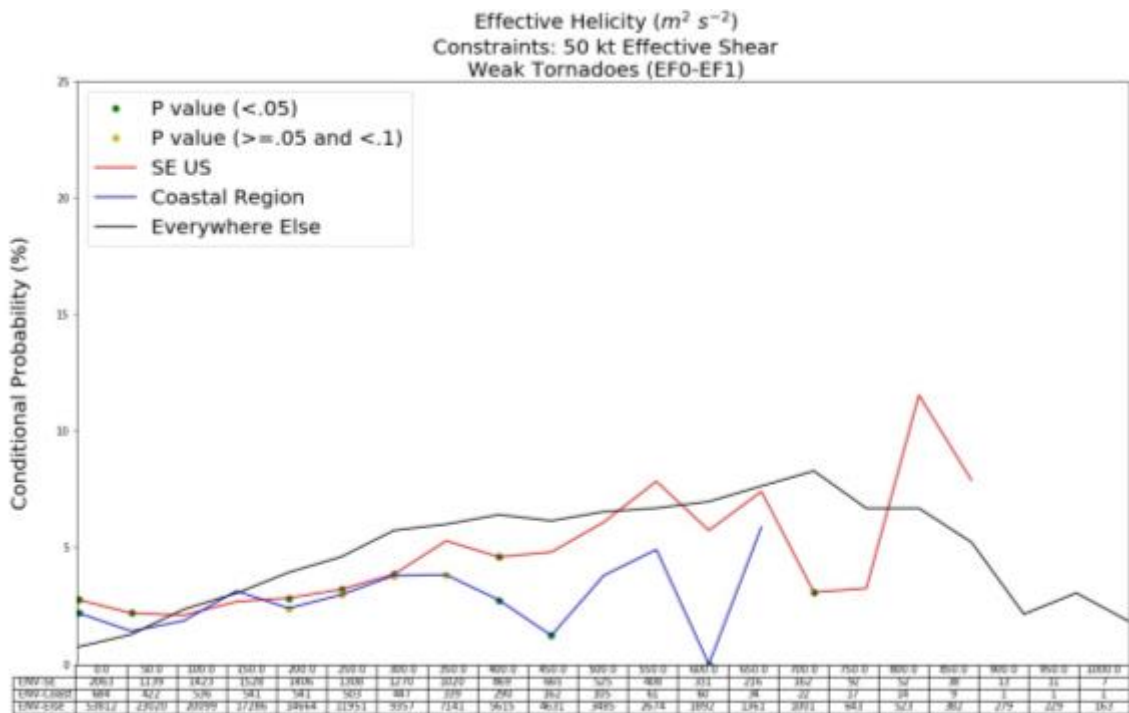
(d)



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530

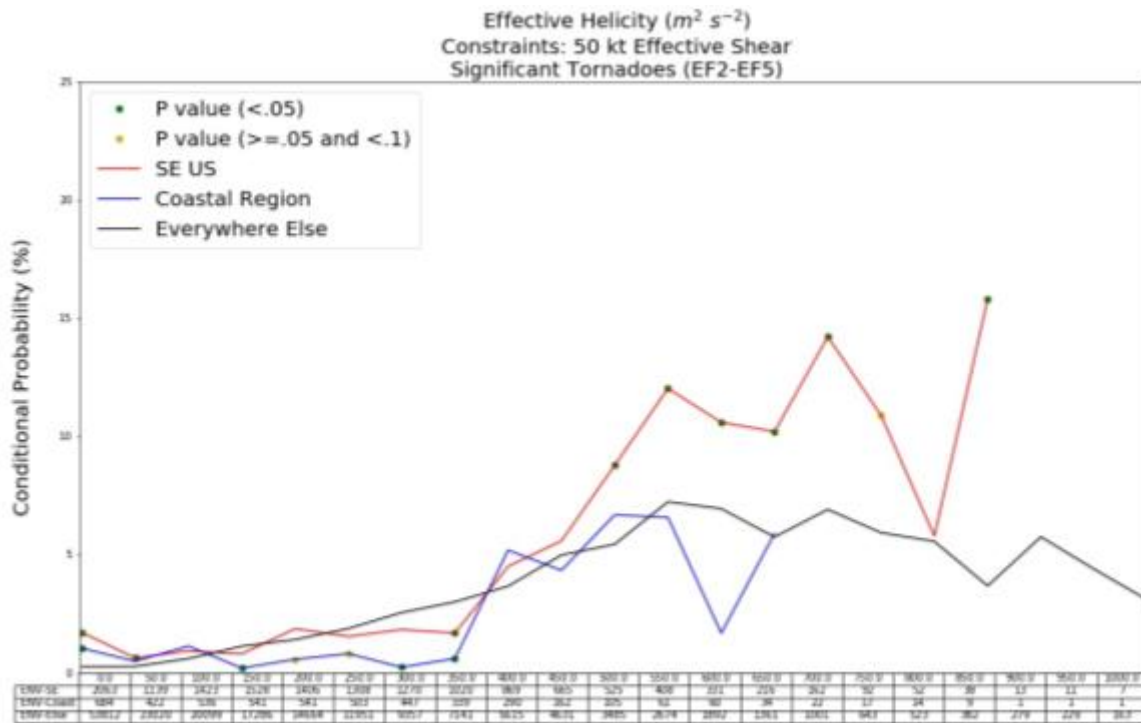
(e)



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(f)



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FIG. 8. As in Fig. 6a, but for weak tornadoes for 0-1-km SRH. (b) As in Fig. 6b, but for significant

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tornadoes. (c) As in Fig. 8a, but for 0-1-km shear. (d) As in Fig. 8b, but for 0-1-km shear. (e) As in

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Fig. 8a, but for effective SRH. (f) As in Fig. 8b, but for effective SRH.